

# Coalition for Advancing Research Assessment

Our vision is that the assessment of research, researchers and research organisations recognises the diverse outputs, practices and activities that maximise the quality and impact of research. This requires basing assessment primarily on qualitative judgement, for which peer review is central, supported by responsible use of quantitative indicators.



## Sign the Agreement

While acknowledging that this Agreement does not have any legally binding effect, it represents a public commitment to contribute actively and constructively to reforming research assessment. The Agreement is open for signature by organisations from across the world, including organisations without legal personality. However the Agreement is not open for signature by individual persons.

First Name \*

Your first name

Last Name \*

Your last name

Full name of organisation \*

Full name of organisation

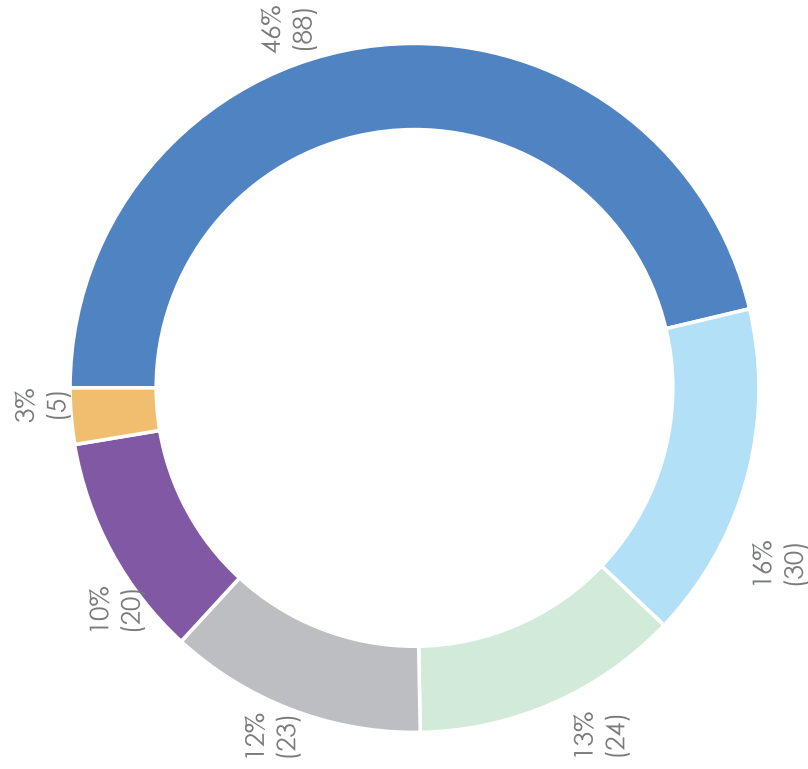
Position with organisation \*

Position with organisation

[www.coara.eu/sign](http://www.coara.eu/sign)

# SIGNATORIES UPDATE

190  
signatories



■ Universities and their associations

■ Research centres, research infrastructures, and their associations

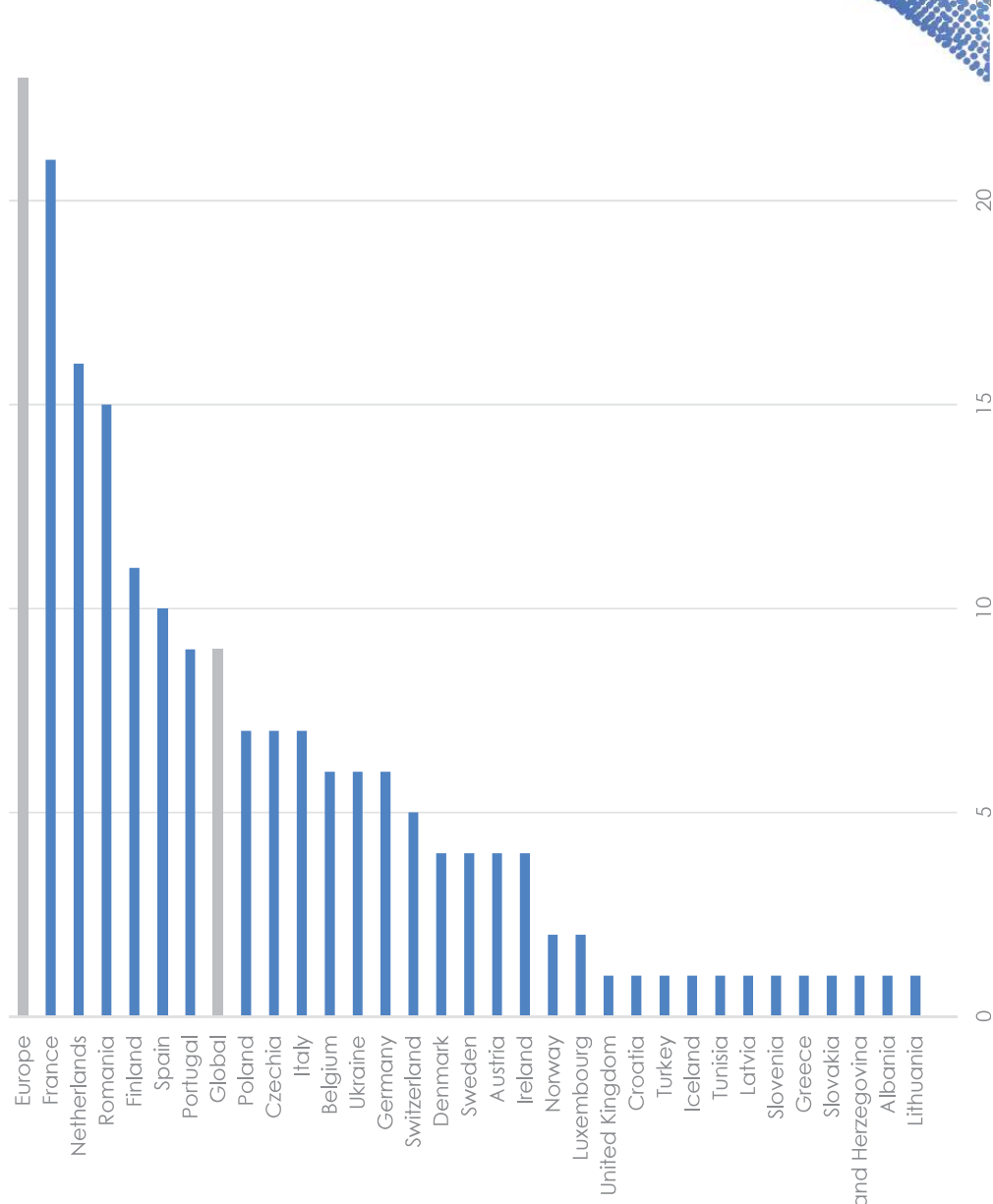
■ Public or private research funding organisations and their associations

■ Academies, learned societies, and their associations, and associations of researchers

■ Other relevant non-for-profit organisations involved with research assessment, and their associations

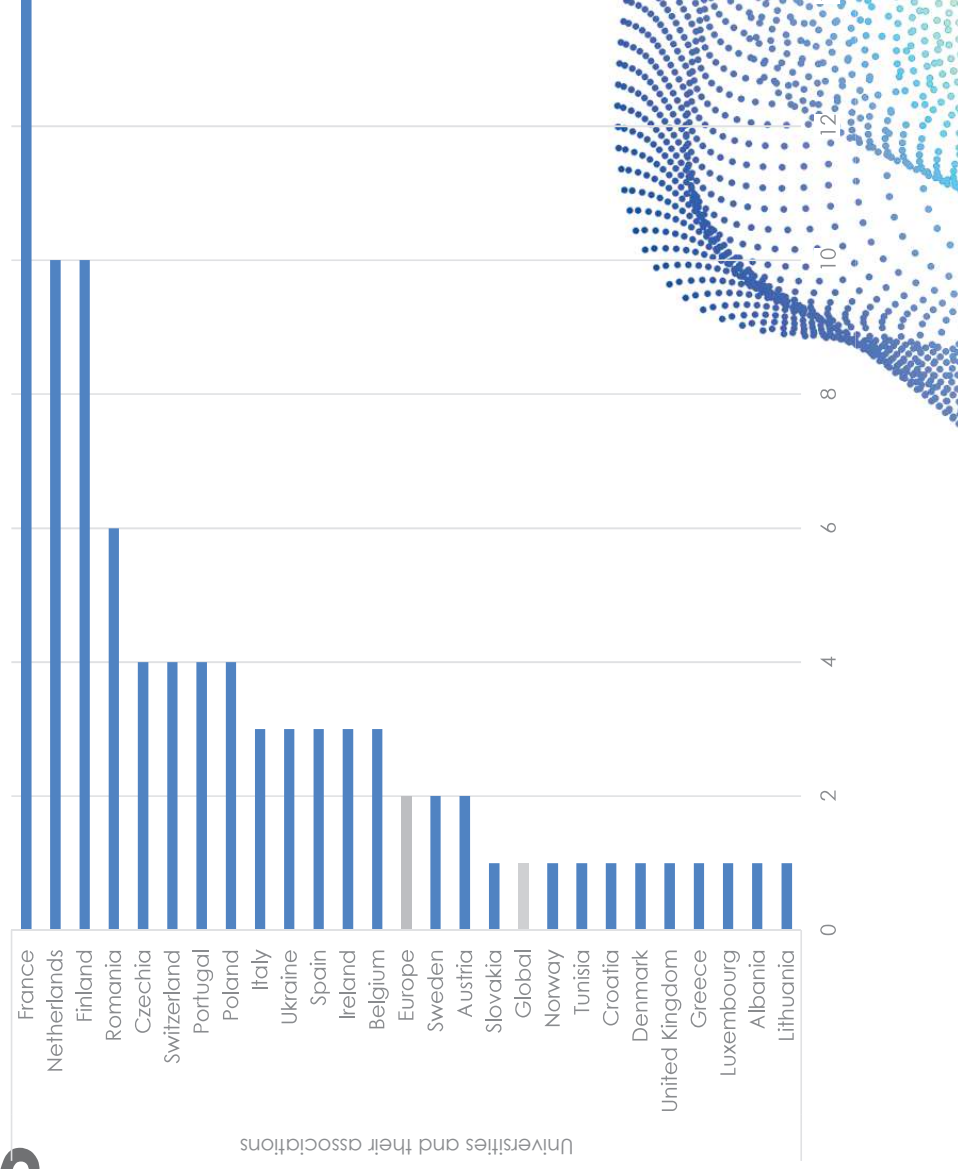
■ National/regional authorities or agencies that implement some form of research assessment and their associations

# SIGNATORIES – COUNTRY DISTRIBUTION



# SIGNATORIES – UNIVERSITIES AND THEIR ASSOCIATIONS

81 universities  
4 NRCs  
3 other univ networks  
(88 in total)



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# THE COALITION GOVERNANCE AND NEXT STEPS

# Coalition for Advancing Research Assessment

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# PRINCIPLES GUIDING THE CONDUCT AND EVOLUTION OF THE COALITION

- **Openness** – to signatories of agreement; globally; accessible outputs
- **Responsibility** – general assembly responsible for rules and procedures of operation
- **Collaboration and mutual support** – supportive environment; mutual learning and collaboration; also with other initiatives
- **Inspiration** – inspire organisations inside and outside the Coalition
- **Commitment and autonomy** – supports implementation of commitments; respect autonomy
- **Voluntary and community-driven** – volunteer members; driving force
- **Dialogue** – in research and academic communities and beyond; representation
- **Inclusiveness** – global; different levels of progress
- **Trust** – self-assessment shared publicly
- **Funding** – voluntary in-kind; potential cash contributions from members
- **Non-profit** – no commercial activities; open and re-usable outputs





# DOCUMENTS ON GOVERNANCE AND OPERATION OF THE COALITION

- [Governance Document](#) – Describes the guiding principles of the Coalition, its structures, their powers, authority and responsibilities, and their relationship
- [Rules of Procedure for Working Groups](#) – Describes the conditions and procedures for the creation and management of Working Groups (WGs) within the Coalition
- [Rules of Procedure for the Chair, Vice-Chair\(s\) and Steering Board](#) – Describes the processes governing the establishment and renewal of the Chair, Vice-Chair(s) and Steering Board members of the Coalition
- [Code of Conduct](#)

All documents available at [coara.eu/resources/](https://coara.eu/resources/)

[Call for candidacies](#) for SB, Chair and Vice-Chair(s) available



# MEMBERSHIP

- Organisations that have signed the Agreement on Reforming Research Assessment, and that are:
  - Universities, and their associations;
  - Research centres, research infrastructures, and their associations;
  - Academies, learned societies, and their associations, and associations of researchers;
  - Public or private research funding organisations and their associations;
  - National/regional authorities or agencies that implement some form of research assessment and their associations; and
  - Other relevant not-for-profit organisations involved with research assessment, and their associations.
- Membership approved by the Steering Board (Interim Chairs before the Constitutive Assembly)
- Members may leave the Coalition at any time



# COALITION BODIES

- **General Assembly of Members** – All Members. The organ representing all the members of the Coalition. The highest-level decision-making body, that meets at least once a year (at least three times during the first year)
- **Working Groups** – Voluntary participation. To exchange knowledge, learn mutually, discuss and investigate any topic to advance research assessment and help with the implementation of the Members commitments
- **Steering Board** – Elected. A collegial body, responsible for the overall oversight, strategy, business plan and sustainability of the Coalition. Taking decisions by mutual agreement
- **Coalition Secretariat** – Supports the administrative, managerial, logistical, communication, engagement, networking, outreach, leadership and other activities of the Coalition



# GENERAL ASSEMBLY

- As the highest-level decision-making body, the General Assembly will:
  - Approve the **Governance and Rules of Procedure** of the CoARA
  - **Elect** a Chair and Vice-Chair(s), and Steering Board members
  - Approve the **annual work-plan and budget** of the CoARA
  - Appoint the organisation(s) that host the **Coalition Secretariat** by simple majority vote
  - Approve the **strategy** guiding the operations and activities of the Coalition as a whole
  - Approve the **strategy** for the outreach (including at global level)
  - Approve the **procedures and criteria** for the establishment of the **Working Groups**, for their follow up and coordination, and for the endorsement and publication of their outputs

Signing the Agreement by 17 November 2022, will allow institutions to be invited to the Constitutive Assembly in early December.

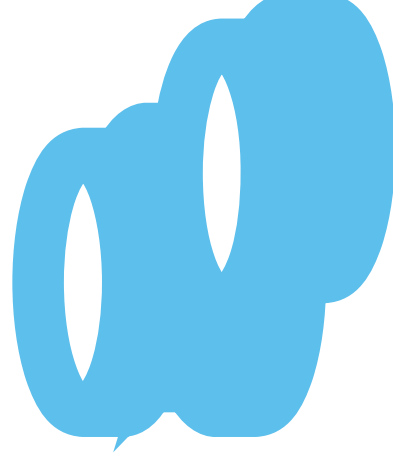
# WORK OF THE COALITION

- Working Groups operating as ‘communities of practice’ and offering space for mutual learning and collaboration. Examples:
  - “Interest communities”, on ad-hoc horizontal topics
  - “Discipline communities”, on approaches to tailor criteria and processes by discipline, interdisciplinary field, thematic area
  - “Institution communities”, on topics specific to a given type of organisation
  - “National communities”, on issues specific to different types of organisations of a given country or group of countries
- Other complementary means like workshops, webinars, (annual) conferences, seminars, trainings, etc.



## A word on coalition funding

- *Support to the operation of the Coalition will consist primarily of voluntary in-kind contributions from its members, as well as funding obtained from research funding organisations and/or cash contributions from members (cf. FAQ).*
- Options for more detailed funding models are currently being prepared .
- **Initial discussion** on funding model for the coalition took place during the 4<sup>th</sup> Stakeholder Assembly.
- Specific options for funding models will be submitted for approval during the Constitutive Assembly.



# TIMELINE

- **20 July** – Publication of the Agreement on Reforming Research Assessment
- **20 October** – Publication on the CoARA website of finalised Governance Document, Rules of Procedure and Code of Conduct
- **20 October** – Publication on the CoARA website of call for candidacies for Chair, Vice-Chairs and Steering Board members
- **17 November** – Deadline for signature of the Agreement in order to be invited to participate in the Constitutive Assembly
- **17 November** – Deadline for candidacies for Chair, Vice-Chairs and Steering Board members
- **24 November** – Sharing of information on candidates, by the Interim Secretariat
- **1 December** – Constitutive Assembly meeting (online), chaired by the Interim Chairs until the Chair is elected

# Universities needed to bring about change



It is crucial that universities are actively involved in the reform of research assessment



Universities should make an informed decision on this process



Universities should be properly represented in the future coalition and its governing bodies



Only a substantial number of universities joining the coalition will guarantee that the interests of the sector are taken into account in the reform process



# Thank you for your attention

